

# Linear sketching over $\mathbb{F}_2$

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# Linear sketching with parities

- Input  $\mathbf{x} \in \{0,1\}^n$
- Parity = Linear function over  $\mathbb{GF}_2$ :  $\bigoplus_{i \in S} x_i$
- E.g.  $x_4 \oplus x_2 \oplus x_{42}$
- **Deterministic linear sketch**: set of  $k$  parities:  
$$\ell(\mathbf{x}) = \bigoplus_{i_1 \in S_1} x_{i_1}; \bigoplus_{i_2 \in S_2} x_{i_2}; \dots; \bigoplus_{i_k \in S_k} x_{i_k}$$
- **Randomized linear sketch**: **distribution** over  $k$  parities (random  $S_1, S_2, \dots, S_k$ ):  
$$\ell(\mathbf{x}) = \bigoplus_{i_1 \in S_1} x_{i_1}; \bigoplus_{i_2 \in S_2} x_{i_2}; \dots; \bigoplus_{i_k \in S_k} x_{i_k}$$

# Linear sketching over $\mathbb{GF}_2$

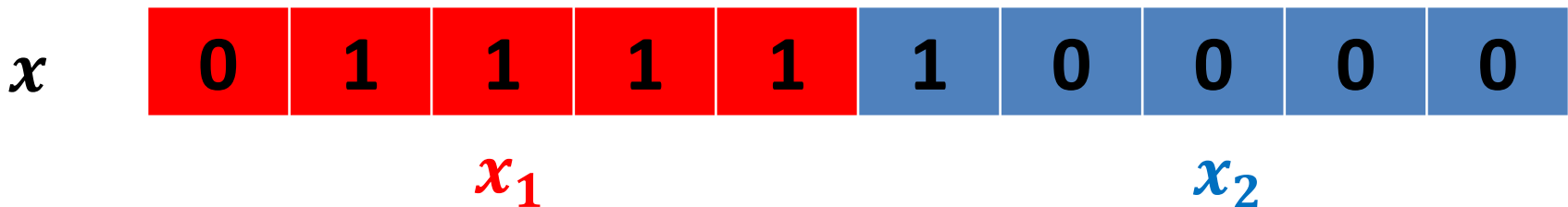
- Given  $f(\mathbf{x}): \{0,1\}^n \rightarrow \{0,1\}$
- **Question:**

Can one recover  $f(\mathbf{x})$  from a small ( $k \ll n$ ) linear sketch over  $\mathbb{GF}_2$ ?

- Allow randomized computation (99% success)
  - Probability over choice of random sets
  - Sets are known at recovery time
  - Recovery is deterministic (also consider randomized)

# Motivation: Distributed Computing

- **Distributed computation among  $M$  machines:**
  - $\mathbf{x} = (\mathbf{x}_1, \mathbf{x}_2, \dots, \mathbf{x}_M)$  (more generally  $\mathbf{x} = \bigoplus_{i=1}^M \mathbf{x}_i$ )
  - $M$  machines can compute sketches locally:  
 $\ell(\mathbf{x}_1), \dots, \ell(\mathbf{x}_M)$
  - Send them to the coordinator who computes:  
 $\ell_i(\mathbf{x}) = \ell_i(\mathbf{x}_1) \oplus \dots \oplus \ell_i(\mathbf{x}_M)$  (coordinate-wise XORs)
  - Coordinator computes  $f(\mathbf{x})$  with  $kM$  communication



# Motivation: Streaming

- $x$  generated through a sequence of updates
- Updates  $i_1, \dots, i_m$ : update  $i_t$  flips bit at position  $i_t$

$x_0$	0	0	0	0	0	0	0	0
Updates: (1, 3, 8)								
$x_1$	1	0	0	0	0	0	0	0
$x_2$	1	0	0	0	0	0	0	0
$x_3$	1	0	0	0	0	1	0	0
$x$	1	0	0	0	0	1	0	0



$\ell(x)$  allows to recover  $f(x)$  with  $k$  bits of space

# Deterministic vs. Randomized

- **Fact:**  $f$  has a deterministic sketch if and only if
  - $f = g(\bigoplus_{i_1 \in S_1} x_{i_1}; \bigoplus_{i_2 \in S_2} x_{i_2}; \dots; \bigoplus_{i_k \in S_k} x_{i_k})$
  - Equivalent to “ $f$  has Fourier dimension  $k$ ”
- **Randomization can help:**
  - **OR:**  $f(x) = x_1 \vee \dots \vee x_n$
  - Has “Fourier dimension” =  $n$
  - Pick  $t = \log 1/\delta$  random sets  $S_1, \dots, S_t$
  - If there is  $j$  such that  $\bigoplus_{i \in S_j} x_i = 1$  output 1, otherwise output 0
  - Error probability  $\delta$

# Fourier Analysis

- $f(x_1, \dots, x_n): \{0,1\}^n \rightarrow \{0,1\}$

- Notation switch:

  - $0 \rightarrow 1$

  - $1 \rightarrow -1$

- $f': \{-1,1\}^n \rightarrow \{-1,1\}$

- Functions as vectors form a vector space:

$$f: \{-1,1\}^n \rightarrow \{-1,1\} \Leftrightarrow f \in \{-1,1\}^{2^n}$$

- Inner product on functions = “correlation”:

$$\langle f, g \rangle = 2^{-n} \sum_{x \in \{-1,1\}^n} f(x)g(x) = \mathbb{E}_{x \sim \{-1,1\}^n} [f(x)g(x)]$$

$$\|f\|_2 = \sqrt{\langle f, f \rangle} = \sqrt{\mathbb{E}_{x \sim \{-1,1\}^n} [f^2(x)]} = 1 \text{ (for Boolean only)}$$

# “Main Characters” are Parities

- For  $S \subseteq [n]$  let **character**  $\chi_S(x) = \prod_{i \in S} x_i$
- **Fact:** Every function  $f: \{-1, 1\}^n \rightarrow \{-1, 1\}$  **uniquely** represented as multilinear polynomial

$$f(x_1, \dots, x_n) = \sum_{S \subseteq [n]} \hat{f}(S) \chi_S(x)$$

- $\hat{f}(S)$  a.k.a. Fourier coefficient of  $f$  on  $S$
- $\hat{f}(S) \equiv \langle f, \chi_S \rangle = \mathbb{E}_{x \sim \{-1, 1\}^n} [f(x) \chi_S(x)]$
- $\sum_S \hat{f}(S)^2 = 1$  (Parseval)



# Fourier Dimension

- Fourier sets  $S \equiv$  vectors in  $\mathbb{G}F_2^n$
- “ $f$  has Fourier dimension  $k$ ” = a  $k$ -dimensional subspace in Fourier domain has all weight

$$\sum_{S \subseteq A_k} \hat{f}(S)^2 = 1$$

$$f(x_1, \dots, x_n) = \sum_{S \subseteq [n]} \hat{f}(S) \chi_S(x) = \sum_{S \subseteq A_k} \hat{f}(S) \chi_S(x)$$

- Pick a basis  $S_1, \dots, S_k$  in  $A_k$ :
  - Sketch:  $\chi_{S_1}(x), \dots, \chi_{S_k}(x)$
  - For every  $S \in A_k$  there exists  $Z \subseteq [k]$ :  $S = \bigoplus_{i \in Z} S_i$   
 $\chi_S(x) = \bigoplus_{i \in Z} \chi_{S_i}(x)$

# Deterministic Sketching and Noise

Suppose “noise” has a bounded norm

$$f = \mathbf{k}\text{-dimensional} \oplus \text{“noise”}$$

- Sparse Fourier noise (via [Sanyal'15])
  - $\hat{f} = \mathbf{k}\text{-dim.} + \text{“Fourier } L_0\text{-noise”}$
  - $\left\| \widehat{\text{noise}} \right\|_0 = \# \text{ non-zero Fourier coefficients of noise}$   
(aka “Fourier sparsity”)
  - Linear sketch size:  $\mathbf{k} + O\left(\left\| \widehat{\text{noise}} \right\|_0^{1/2}\right)$
  - **Our work:** can't be improved even with randomness and even for uniform  $x$ , e.g. for “addressing function”.

# How Randomization Handles Noise

- $L_0$ -noise in original domain (via hashing a la OR)
  - $f = k$ -dim. + “ $L_0$ -noise”
  - Linear sketch size:  $k + O(\log \|noise\|_0)$
  - Optimal (but only existentially, i.e.  $\exists f$ : ...)
- $L_1$ -noise in the Fourier domain (via [Grolmusz’97])
  - $\hat{f} = k$ -dim. + “Fourier  $L_1$ -noise”
  - Linear sketch size:  $k + O(\|\widehat{noise}\|_1^2)$
  - Example =  $k$ -dim. + small decision tree / DNF / etc.

# Randomized Sketching: Hardness

- $k$ -dimensional **affine extractors** require  $k$ :
  - $f$  is an **affine-extractor** for dim.  $k$  if any restriction on a  $k$ -dim. affine subspace has values 0/1 w/prob.  $\geq 0.1$  each
  - Example (inner product):  $f(\mathbf{x}) = \bigoplus_{i=1}^{n/2} x_{2i-1}x_{2i}$
- Not  $\gamma$ -concentrated on  $k$ -dim. Fourier subspaces
  - For  $\forall k$ -dim. Fourier subspace  $A$  :

$$\sum_{S \notin A} \hat{f}(S)^2 \geq 1 - \gamma$$

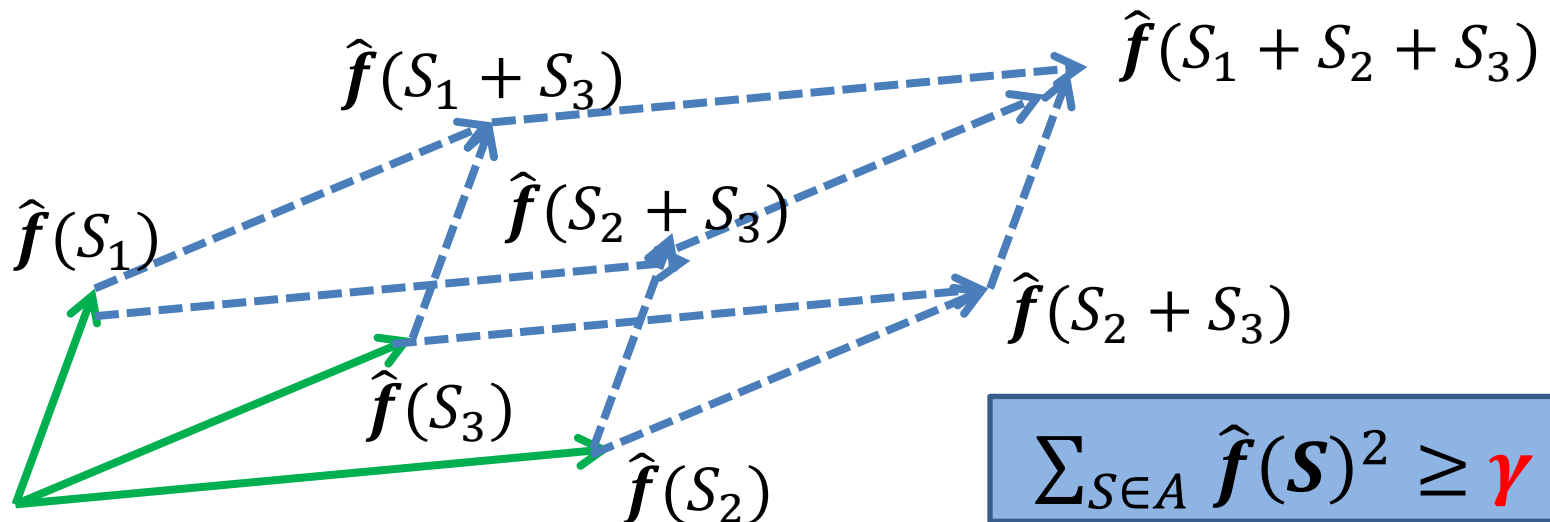
- Any  $k$ -dim. linear sketch makes error  $\frac{1-\sqrt{\gamma}}{2}$
- **Converse doesn't hold, i.e. concentration is not enough**

# Randomized Sketching: Hardness

- Not  $\gamma$ -concentrated on  $o(n)$ -dim. Fourier subspaces:
  - Almost all **symmetric functions**, i.e.  $f(x) = h(\sum_i x_i)$ 
    - If not Fourier-close to constant or  $\bigoplus_{i=1}^n x_i$
    - E.g. Majority (not an extractor even for  $O(\sqrt{n})$ )
  - **Tribes** (balanced DNF)
  - **Recursive majority**:  $Maj^{\circ k} = Maj_3 \circ Maj_3 \dots \circ Maj_3$

# Approximate Fourier Dimension

- Not  $\gamma$ -concentrated on  $k$ -dim. Fourier subspaces
  - $\forall k$ -dim. Fourier subspace  $A$ :  $\sum_{S \notin A} \hat{f}(S)^2 \geq 1 - \gamma$
  - Any  $k$ -dim. linear sketch makes error  $\frac{1}{2}(1 - \sqrt{\gamma})$
- **Definition** (Approximate Fourier Dimension)
  - $\dim_{\gamma}(f) =$  smallest  $d$  such that  $f$  is  $\gamma$ -concentrated on some Fourier subspace of dimension  $d$



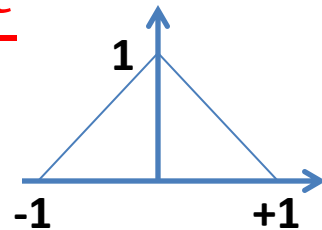
# Sketching over Uniform Distribution + Approximate Fourier Dimension

- Sketching error over **uniform distribution of  $x$** .
- $\dim_{\epsilon}(\mathbf{f})$ -dimensional sketch gives error  **$1 - \epsilon$** :
  - Fix  $\dim_{\epsilon}(\mathbf{f})$ -dimensional  $A: \sum_{S \in A} \hat{\mathbf{f}}(S)^2 \geq \epsilon$
  - Output:  $\mathbf{g}(x) = \text{sign}(\sum_{S \in A} \hat{\mathbf{f}}(S) \chi_S(x))$ :
$$\Pr_{x \sim U(\{-1,1\}^n)} [\mathbf{g}(x) = \mathbf{f}(x)] \geq \epsilon \Rightarrow \text{error } \mathbf{1 - \epsilon}$$

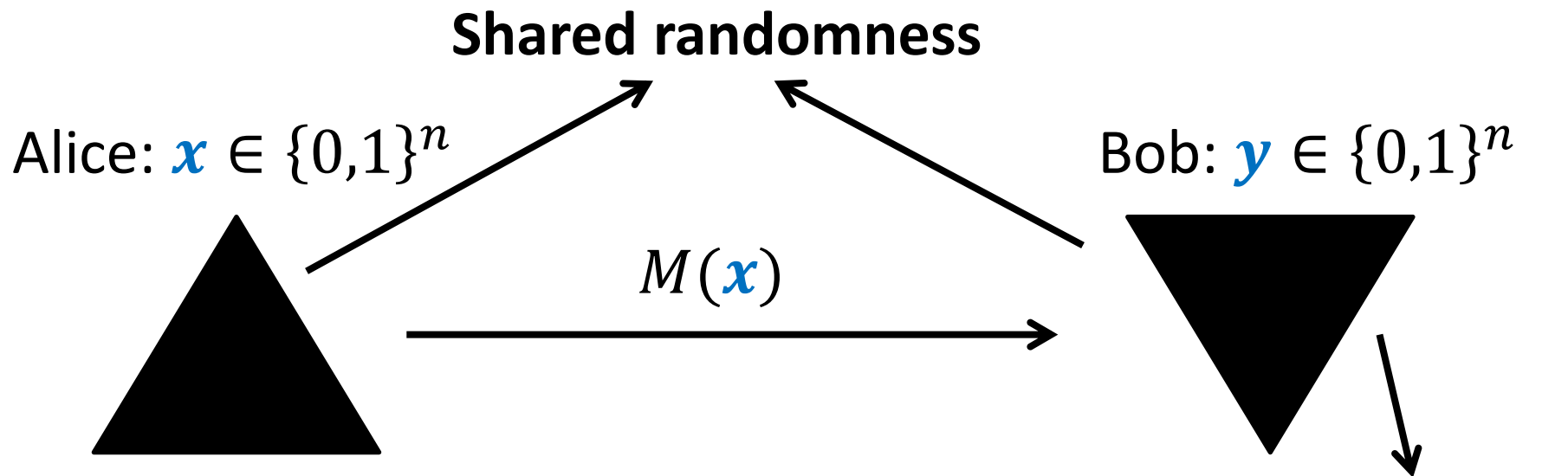
- We show a basic refinement  $\Rightarrow$  error  **$\frac{1-\epsilon}{2}$**

– Pick  $\theta$  from a carefully chosen distribution

– Output:  $\mathbf{g}_{\theta}(x) = \text{sign}(\sum_{S \in A} \hat{\mathbf{f}}(S) \chi_S(x) - \theta)$



# 1-way Communication Complexity of XOR-functions



- Examples:

- $f(z) = OR_{i=1}^n(z_i) \Rightarrow$  (not) Equality

- $f(z) = (||z||_0 > d) \Rightarrow$  Hamming Distance  $> d$

- $R_\epsilon^1(f^+) = \min. |M|$  so that Bob's error prob.  $\epsilon$

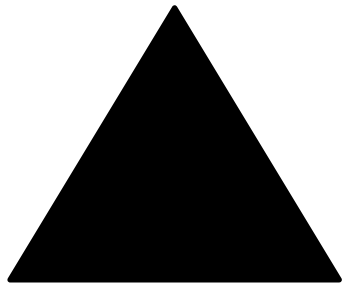


# Communication Complexity of XOR-functions

- Well-studied (often for 2-way communication):
  - [Montanaro, Osborne], ArXiv'09
  - [Shi, Zhang], QIC'09,
  - [Tsang, Wong, Xie, Zhang], FOCS'13
  - [O'Donnell, Wright, Zhao, Sun, Tan], CCC'14
  - [Hatami, Hosseini, Lovett], FOCS'16
- Connections to log-rank conjecture [Lovett'14]:
  - Even special case for XOR-functions still open

# Deterministic 1-way Communication Complexity of XOR-functions

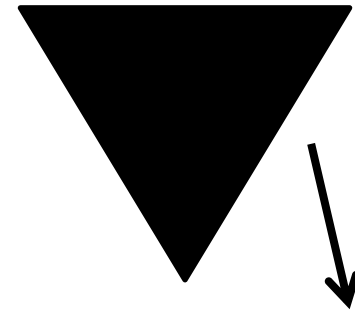
Alice:  $\mathbf{x} \in \{0,1\}^n$



$M(\mathbf{x})$



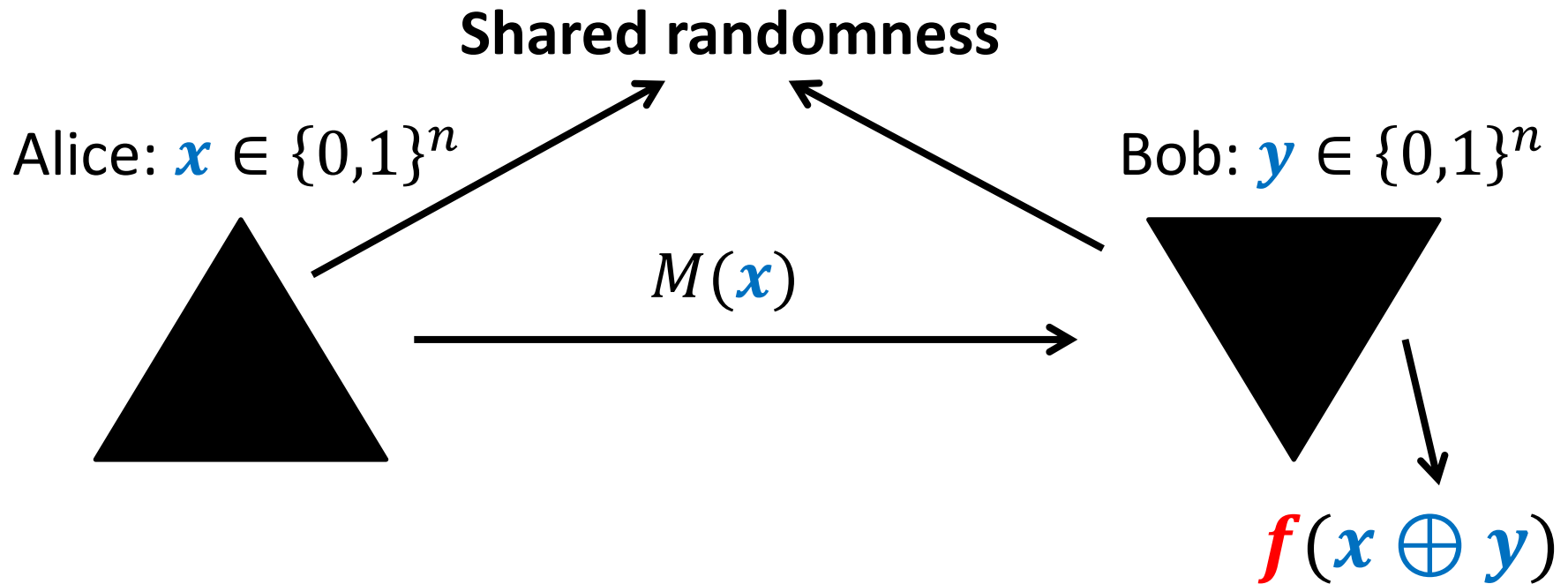
Bob:  $\mathbf{y} \in \{0,1\}^n$



$$f^+ = f(\mathbf{x} \oplus \mathbf{y})$$

- $D^1(f) = \min. |M|$  so that Bob is always correct
- [Montanaro-Osborne'09]:  $D^1(f) = D^{lin}(f)$
- $D^{lin}(f^+) =$  deterministic lin. sketch complexity of  $f^+$
- $D^1(f) = D^{lin}(f^+) =$  Fourier dimension of  $f$

# 1-way Communication Complexity of XOR-functions



- $R_\epsilon^1(f) = \min. |M|$  so that Bob's error prob.  $\epsilon$
- $R_\epsilon^{lin}(f^+) = \text{rand. lin. sketch complexity (error } \epsilon \text{)}$
- $R_\epsilon^1(f^+) \leq R_\epsilon^{lin}(f)$
- **Question:**  $R_\epsilon^1(f^+) \approx R_\epsilon^{lin}(f)$ ?

$$R_{\epsilon}^1(f^+) \approx R_{\epsilon}^{lin}(f)?$$

Holds for:

- Majority, Tribes, recursive majority, addressing function
- Linear threshold functions
- (Almost all) symmetric functions
- Degree- $d$   $\mathbb{F}_2$ -polynomials:

$$R_{5\epsilon}^{lin}(f) = O(d R_{\epsilon}^1(f^+))$$

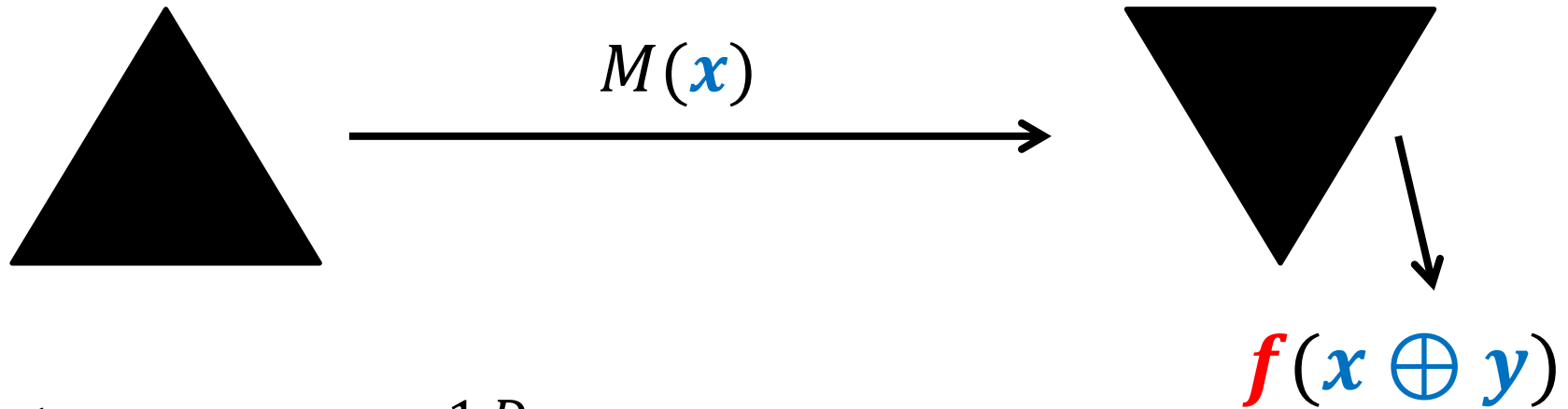
Analogous question for 2-way is wide open:

$$[\text{HHL}'16] Q_{\epsilon}^{\oplus -dt}(f) = \text{poly}(R_{\epsilon}(f^+))?$$

# Distributional 1-way Communication under Uniform Distribution

Alice:  $\mathbf{x} \sim U(\{0,1\}^n)$

Bob:  $\mathbf{y} \sim U(\{0,1\}^n)$



- $R_\epsilon^1(f) = \sup_D \mathfrak{D}_\epsilon^{1,D}(f)$
- $\mathfrak{D}_\epsilon^{1,U}(f) = \min. |M|$  so that Bob's error prob.  $\epsilon$  is over the uniform distribution over  $(\mathbf{x}, \mathbf{y})$
- Enough to consider deterministic messages only
- Motivation: streaming/distributed with random input

# Sketching over Uniform Distribution

**Thm:** If  $\dim_{\epsilon}(f) = d - 1$  then  $\mathfrak{D}_{\frac{1-\epsilon}{6}}^{1,U}(f^+) \geq \frac{d}{6}$ .

- Optimal up to error as  $d$ -dim. linear sketch has error  $\frac{1-\epsilon}{2}$

**Weaker:** If  $\epsilon_2 > \epsilon_1$ ,  $\dim_{\epsilon_1}(f) = \dim_{\epsilon_2}(f) = d - 1$  then:  
$$\mathfrak{D}_{\delta}^{1,U}(f) \geq d,$$

where  $\delta = (\epsilon_2 - \epsilon_1)/4$ .

**Corollary:** If  $\hat{f}(\emptyset) < C$  for  $C < 1$  then there exists  $d$ :

$$\mathfrak{D}_{\Theta\left(\frac{1}{n}\right)}^{1,U}(f) \geq d.$$

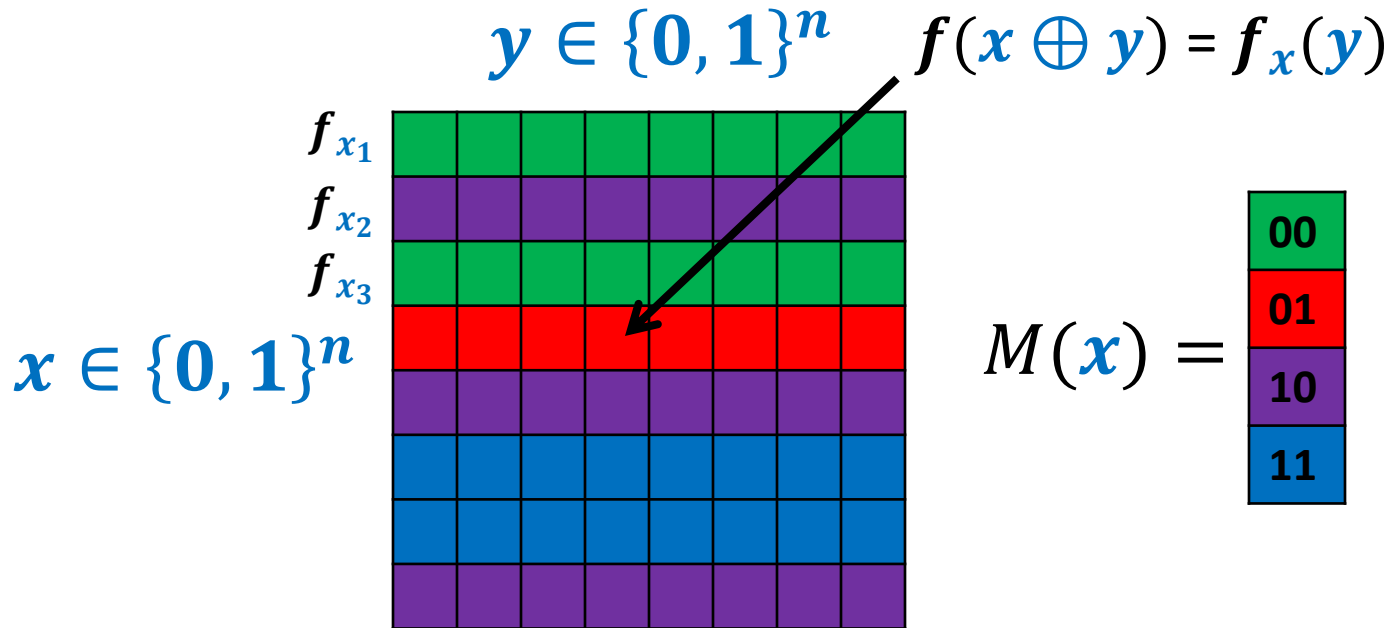
- Tight for the Majority function, etc.

# $\mathfrak{D}_\epsilon^{1,U}$ and Approximate Fourier Dimension

**Thm:** If  $\epsilon_2 > \epsilon_1 > 0$ ,  $\dim_{\epsilon_1}(f) = \dim_{\epsilon_2}(f) = d - 1$  then:

$$\mathfrak{D}_\delta^{1,U}(f) \geq d,$$

where  $\delta = (\epsilon_2 - \epsilon_1)/4$ .



# $\mathfrak{D}_{\epsilon}^{1,U}$ and Approximate Fourier Dimension

- If  $|M(\mathbf{x})| = d - 1$  average “rectangle” size =  $2^{n-d+1}$
- A subspace  $A$  **distinguishes**  $\mathbf{x}_1$  and  $\mathbf{x}_2$  if:
  - $\exists S \in A : \chi_S(\mathbf{x}_1) \neq \chi_S(\mathbf{x}_2)$
- **Lem 1:** Fix a  $d$ -dim. subspace  $A_d$ : typical  $\mathbf{x}_1$  and  $\mathbf{x}_2$  in a typical “rectangle” are distinguished by  $A_d$
- **Lem 2:** If a  $d$ -dim. subspace  $A_d$  distinguishes  $\mathbf{x}_1$  and  $\mathbf{x}_2$  +
  - 1)  $f$  is  $\epsilon_2$ -concentrated on  $A_d$
  - 2)  $f$  not  $\epsilon_1$ -concentrated on any  $(d - 1)$ -dim. subspace

$$\Rightarrow \Pr_{z \sim U(\{-1,1\}^n)} [f_{\mathbf{x}_1}(z) \neq f_{\mathbf{x}_2}(z)] \geq \epsilon_2 - \epsilon_1$$



# $\mathfrak{D}_\epsilon^{1,U}$ and Approximate Fourier Dimension

**Thm:** If  $\epsilon_2 > \epsilon_1 > 0$ ,  $\dim_{\epsilon_1}(f) = \dim_{\epsilon_2}(f) = d - 1$  then:

$$\mathfrak{D}_\delta^{1,U}(f) \geq d,$$

Where  $\delta = (\epsilon_2 - \epsilon_1)/4$ .

$$\Pr_{z \sim U(\{-1,1\}^n)} [f_{x_1}(z) \neq f_{x_2}(z)] \geq \epsilon_2 - \epsilon_1$$

			$y$					
$g_{x_1}$	0	1	1	0	0	0	1	0
$g_{x_2}$	0	1	0	1	0	1	1	0
			0					

$R = \text{"typical rectangle"}$

Error for fixed  $y = \min_{x \in R} (\Pr [f_x(y) = 0], \Pr [f_x(y) = 1])$

Average error for  $(x, y) \in R = \Omega(\epsilon_2 - \epsilon_1)$

# Application: Random Streams

- $x \in \{0,1\}^n$  generated via a stream of updates
  - Each update flips a **random coordinate**
- **Goal:** maintain  $f(x)$  during the stream (error  $\epsilon$ )
- **Question:** how much space necessary?
- **Answer:**  $\mathcal{D}_\epsilon^{1,U}$  and best algorithm is linear sketch
  - After first  $O(n \log n)$  updates input  $x$  is uniform
- **Big open question:**
  - Is the same true if  $x$  is not uniform?
  - True for **VERY LONG** ( $2^{2^{\Omega(n)}}$ ) streams (via [LNW'14])
  - How about short ones?
  - Answer would follow from our conjecture if true

# Thanks! Questions?

- Other stuff:
  - Sketching Linear Threshold Functions:  $O\left(\frac{\theta}{m} \log \frac{\theta}{m}\right)$
  - Resolves a communication conjecture of [MO'09]
- Blog post: <http://grigory.us/blog/the-binary-sketchman>



# Example: Majority

- Majority function:

$$\mathbf{Maj}_n(z_1, \dots, z_n) \equiv \sum_{i=1}^n z_i \geq n/2$$

- $\widehat{\mathbf{Maj}}_n(\mathcal{S})$  only depends on  $|\mathcal{S}|$

- $\widehat{\mathbf{Maj}}_n(\mathcal{S}) = 0$  if  $|\mathcal{S}|$  is odd

- $W^k(\mathbf{Maj}_n) = \sum_{\mathcal{S}:|\mathcal{S}|=k} \widehat{\mathbf{Maj}}_n(\mathcal{S}) = \alpha k^{-\frac{3}{2}} \left( 1 \pm O\left(\frac{1}{k}\right) \right)$

- $(n - 1)$ -dimensional subspace with most weight:

$$A_{n-1} = \text{span}(\{1\}, \{2\}, \dots, \{n - 1\})$$

- $\sum_{\mathcal{S} \in A_{n-1}} \widehat{\mathbf{Maj}}_n(\mathcal{S}) = 1 - \frac{\gamma}{\sqrt{n}} \pm O(n^{-3/2})$

- Set  $\epsilon_2 = 1 - O(n^{-3/2})$ ,  $\epsilon_1 = 1 - \frac{\gamma}{\sqrt{n}} + O(n^{-3/2})$

$$\mathfrak{D}_{O(1/\sqrt{n})}^{1,U}(\mathbf{Maj}_n) \geq n$$